

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**INFORMATION DISCLOSURE STATEMENT**

**APPLICANT:** Shiro Uchida, et al. **ATTY DOCKET NO.:** 9792909-5918  
**SERIAL NO.:** not yet assigned **GROUP ART UNIT:** not yet assigned  
**DATE FILED:** April 9, 2004 **EXAMINER:** not yet assigned  
**INVENTION:** "SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD THEREOF"

which is a Divisional of the following copending U.S. Application:

**APPLICANT:** Shiro Uchida, et al. **ATTY DOCKET NO.:** 9792909-5366  
**SERIAL NO.:** 10/115,314 **GROUP ART UNIT:** 2828  
**DATE FILED:** April 3, 2002 **EXAMINER:** J. Zahn  
**INVENTION:** "SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD THEREOF"

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. **SUBMITTED UNITED STATES PATENT REFERENCES**

<u>Reference</u>	<u>Patent Number</u>	<u>Inventor</u>	<u>Issue Date</u>
AA	6,414,976	S. Hirata	July 2, 2002
AB	6,516,016	T. Fukunaga, et al.	February 4, 2003
AC	6,452,954	T. Fukunaga	September 17, 2002
AD	2003/0147438	J. Abeles	August 7, 2003
AE	2003/0012243	T. Okumura	January 16, 2003

## II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Patent Number</u>	<u>Country</u>	<u>Date of Publication</u>
AH	JP2000-196201	Japan	July 14, 2000
AI	JP2000-31599	Japan	January 28, 2000
AJ	JP11-220210	Japan	August 10, 1999

## III. OTHER ITEMS OF INFORMATION

## IV. EXPLANATION OF RELEVANCE

The above references were made of record in parent application U.S. Serial No. 10/115,314.

Submitted by,



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37 CFR 1.501 INFORMATION DISCLOSURE STATEMENT IN A PATENT (use several sheets if necessary)					Docket No. 09792909-5901		Serial No.	
					Applicants: Yutaka Wada, et al.			
					Filing Date April 9, 2004		Group Art Unit	
<b>U.S. PATENT DOCUMENTS</b>								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	JP10-021596	1-23-98	Japan			Abstract	
	AI	JP10-011801	1-16-98	Japan			Abstract	
	AJ	JP07-244877	9-19-95	Japan			Abstract	
	AK	JP10-293949	11-4-98	Japan			Abstract	
	AL	JP08-124220	5-17-96	Japan			Abstract	
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
Examiner			Date Considered					
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								